## UNIVERSITY OF AARHUS DEPARTMENT OF MATHEMATICS



ISSN: 1397-4076

# **ON ABSORBING EXTENSIONS**

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Preprint Series No.: 6

Ny Munkegade, Bldg. 530 8000 Aarhus C, Denmark March 1999

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### ON ABSORBING EXTENSIONS

#### KLAUS THOMSEN

ABSTRACT. Building on the work of Kasparov we show that there always exists a trivial absorbing extension of A by  $B \otimes \mathcal{K}$ , provided only that A and B are separable. If A is unital there is a unital trivial extension which is unitally absorbing.

#### 1. INTRODUCTION

Absorbing trivial extensions play an important role in the theory of extensions of  $C^*$ -algebras, cf. 15.12 in [B1]. Recently the interest in such extensions has been renewed because of the way KK-theory comes into the classification program. In this connection, as well as in the proper theory of  $C^*$ -extensions, it is slightly disturbing that the existence of an absorbing trivial extension has only been established in the case where at least one of the  $C^*$ -algebras involved is nuclear, cf. Theorem 5 of [K]. The purpose of the present note is to show that such extensions always exist when both  $C^*$ -algebras are separable. The argument for this is a modification of Kasparovs approach from [K]. The absorbing trivial extensions were constructed. in [K] as well as before Kasparovs work, by taking the infinite direct sum of the same copy of a faithful unital representation of the separable  $C^*$ -algebra A (for the moment assumed to be unital) which plays the role of the quotient in the extensions. The resulting representation  $\pi : A \to \mathcal{B}(\mathcal{H})$  was then composed with the natural imbedding  $\mathcal{B}(\mathcal{H}) \subset \mathcal{M}(B \otimes \mathcal{K})$ , where  $B \otimes \mathcal{K}$  is the stable  $C^*$ -algebra which features as the ideal in the extensions. So in practice this means that the absorbing extension was constructed by taking a weak<sup>\*</sup> dense sequence of states of A, repeating all states in the sequence infinitely often, and then adding the corresponding GNSrepresentations. This procedure has nothing to do with the  $C^*$ -algebra B, and it is a highly non-trivial task to show that it often results in an absorbing extension when prolonged to a map  $A \to \mathcal{M}(B \otimes \mathcal{K})$ , cf. [K]. The observation we offer here is that if one instead takes a sequence  $s_n: A \to B \otimes \mathcal{K}$  of completely positive contractions which is dense for the topology of pointwise norm-convergence among all completely positive contractions (such a sequence exists when both A and B are separable), repeats each  $s_n$  infinitely often and add up the unital representations

$$\pi_n : A \to \mathcal{M}(B \otimes \mathcal{K}), n \in \mathbb{N},$$

coming from the Kasparov-Stinespring decompositions

$$s_n(\cdot) = W_n^* \pi_n(\cdot) W_n ,$$

the resulting representation  $A \to \mathcal{M}(B \otimes \mathcal{K})$  will be an unitally absorbing trivial extension. The general trivial absorbing extensions can then be obtained (for a not neccessarily unital  $C^*$ -algebra A) by taking an unitally absorbing representation  $\pi: A^+ \to \mathcal{M}(B \otimes \mathcal{K})$  and restricting it to A.

Version: April 28, 1999.

In order to illustrate how the absorbing \*-homomorphisms constructed here can be used to extend known results we prove a general version of the Paschke-Valette-Skandalis duality which realizes the group KK(A, B) as the  $K_1$ -group of a  $C^*$ algebra  $D_{\pi}$  build out of A and B by using an absorbing \*-homomorphism  $\pi : A \to \mathcal{M}(B)$ , cf. [P], [V], [S], [H].

#### 2. Absorbing \*-homomorphisms

Given Hilbert *B*-modules *E* and *F*, we let  $\mathcal{L}_B(E, F)$  denote the Banach space of adjoinable operators from *E* to *F*. The ideal of 'compact' operators from *E* to *F* is denoted by  $\mathcal{K}_B(E, F)$ . When E = F we write  $\mathcal{L}_B(E)$  and  $\mathcal{K}_B(E)$  instead of  $\mathcal{L}_B(E, E)$  and  $\mathcal{K}_B(E, E)$ , respectively. In the special case where E = B there are well-known identifications  $\mathcal{L}_B(B) = \mathcal{M}(B) =$  the multiplier algebra of *B*, and  $\mathcal{K}_B(B) = B$  which we shall use freely.

**Theorem 2.1.** Let A and B be separable  $C^*$ -algebras with A unital and B stable. Let  $\pi : A \to \mathcal{M}(B)$  be a unital \*-homomorphism. Then the following conditions are equivalent :

- For any completely positive contraction φ : A → B there is a sequence {W<sub>n</sub>} ⊆ M(B) such that
   lim<sub>n→∞</sub> ||φ(a) W<sub>n</sub><sup>\*</sup>π(a)W<sub>n</sub>|| = 0 for all a ∈ A,
   lim<sub>n→∞</sub> ||W<sub>n</sub><sup>\*</sup>b|| = 0 for all b ∈ B.
   for all a ∈ A.
- 2) For any completely positive unital map φ : A → M(B) there is a sequence {V<sub>n</sub>} of isometries in M(B) such that
  2a) V<sub>n</sub><sup>\*</sup>π(a)V<sub>n</sub> φ(a) ∈ B, n ∈ N, a ∈ A,
  2b) lim<sub>n→∞</sub> ||V<sub>n</sub><sup>\*</sup>π(a)V<sub>n</sub> φ(a)|| = 0, a ∈ A.
- 3) For any unital \*-homomorphism  $\varphi : A \to \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that

3a) 
$$U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a) \in B , \ n \in \mathbb{N} , \ a \in A,$$
  
3b)  $\lim_{n \to \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0 , \ a \in A .$ 

4) For any unital \*-homomorphism  $\varphi : A \to \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that

$$\lim_{n \to \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0 , a \in A .$$

*Proof.* 1)  $\Rightarrow$  2) : Let  $F \subseteq A$  be a finite set containing 1 and  $\epsilon > 0$ . Let  $\varphi : A \rightarrow \mathcal{M}(B)$  be a completely positive unital map. It suffices to find an element  $V \in \mathcal{M}(B)$  such that

$$V^*\pi(a)V - \varphi(a) \in B \tag{2.1}$$

for all  $a \in A$  and

$$\|V^*\pi(x)V - \varphi(x)\| < 3\epsilon \tag{2.2}$$

for all  $x \in F$ . If namely  $\epsilon$  is small enough this will imply that  $W = V[V^*V]^{-\frac{1}{2}}$  is an isometry close to V such that  $V - W \in B$ , and we can then work with W instead of V. We repeat Kasparovs arguments : Let X be a compact subset of A containing F and with dense span in A. By Lemma 10 of [K] there is a sequence  $\psi_k : A \to B, \ k \in \mathbb{N}$ , of completely positive contractions such that  $\psi(a) = \sum_{k=1}^{\infty} \psi_k(a)$  converges in the strict topology,  $\varphi(a) - \psi(a) \in B$  for all  $a \in A$ , and  $\|\varphi(x) - \psi(x)\| < \epsilon$  for all  $x \in X$ . Let  $\{b_i\}$  be a countable approximate unit for B. It follows from 1) that we can find a sequence  $\{m_i\} \subseteq B$  such that

- 1)  $\|\psi_i(x) m_i^*\pi(x)m_i\| \leq \epsilon 2^{-i}, x \in X, i \in \mathbb{N},$ 2)  $\|m_i^*\pi(x)m_j\| \leq \epsilon 2^{-i-j}, x \in X, i, j \in \mathbb{N}, i \neq j,$ 3)  $\sum_{i=1}^{\infty} \|m_i^*b_k\| < \infty$  for all  $k \in \mathbb{N}.$

The argument from the proof of Theorem 5 in [K] shows that  $\sum_{i=1}^{\infty} m_i$  converges in the strict topology to an element  $V \in \mathcal{M}(B)$  satisfying (2.1) and (2.2).

 $(2) \Rightarrow 3$ : The following argument is a reading of p. 338-339 of [A] which merely substitutes the Hilbert spaces with Hilbert B-modules. We include it for the convenience of the reader. Let  $\varphi: A \to \mathcal{M}(B)$  be a unital \*-homomorphism. Let  $F \subseteq A$ be a finite set and let  $\epsilon > 0$ . All we need to do is to find a unitary  $U \in \mathcal{L}_B(B \oplus B, B)$ such that

$$U(\pi \oplus \varphi)(a)U^* - \pi(a) \in B, a \in A$$

and

$$\|U(\pi\oplus\varphi)(x)U^* - \pi(x)\| \le \epsilon , x \in F$$

Let  $S_1, S_2, \cdots$  be a sequence of isometries in  $\mathcal{M}(B)$  such that  $S_i^* S_j = 0, i \neq j$ , and  $\sum_{i=1}^{\infty} S_i S_i^* = 1$  in the strict topology. Define  $\varphi' : A \to \mathcal{M}(B)$  such that

$$\varphi'(a) = \sum_{i=1}^{\infty} S_i \varphi(a) S_i^*$$

It is then easy to show that

$$U(\varphi' \oplus \varphi)U^* = \varphi' \tag{2.3}$$

for some unitary  $U \in \mathcal{L}_B(B \oplus B, B)$ . By assumption there is a sequence  $\{V_n\}$  of isometries in  $\mathcal{M}(B)$  such that  $\lim_{n\to\infty} \|\varphi'(a) - V_n^*\pi(a)V_n\| = 0$  for all  $a \in A$  and  $\varphi'(a) - V_n^* \pi(a) V_n \in B$  for all a, n. By using the identity

$$(V_n \varphi'(a) - \pi(a) V_n)^* (V_n \varphi'(a) - \pi(a) V_n) = (V_n^* \pi(a^* a) V_n - \varphi'(a^* a)) + (\varphi'(a^*) - V_n^* \pi(a^*) V_n) \varphi'(a) + \varphi'(a^*) (\varphi'(a) - V_n^* \pi(a) V_n) ,$$

we see that

$$\lim_{n \to \infty} \|V_n \varphi'(a) - \pi(a) V_n\| = 0$$
(2.4)

and

$$V_k \varphi'(a) - \pi(a) V_k \in B$$

for all k, a. Set  $P_n = V_n V_n^*$ . Then

$$\lim_{n \to \infty} \|P_n \pi(a) - \pi(a) P_n\| = 0$$
(2.5)

and

$$P_k\pi(a) - \pi(a)P_k \in B$$

for all k, a. Set  $\pi_n(\cdot) = (1-P_n)\pi(\cdot)(1-P_n)$ . Define unitaries  $U_n : B \oplus (1-P_n)B \to B$ by

$$U_n(x,y) = V_n x + y .$$

Let  $Q_1 : B \oplus (1 - P_n)B \to B$  and  $Q_2 : B \oplus (1 - P_n)B \to (1 - P_n)B$  be the two natural projections. Then  $\pi(a)U_n = \pi(a)V_nQ_1 + \pi(a)Q_2$  while  $U_n[\varphi'(a) \oplus \pi_n(a)] = V_n\varphi'(a)Q_1 + \pi_n(a)Q_2$ , and hence

$$\pi(a)U_n - U_n[\varphi'(a) \oplus \pi_n(a)]$$
  
=  $(\pi(a)V_n - V_n\varphi'(a))Q_1 + P_n\pi(a)(1-P_n)Q_2$  (2.6)  
 $\in \mathcal{K}_B(B \oplus (1-P_n)B, B)$ 

for all a, n. By combining (2.4) and (2.5) we see that

$$\lim_{n \to \infty} \|\pi(a)U_n - U_n[\varphi'(a) \oplus \pi_n(a)]\| = 0$$

for all  $a \in A$ . By using this in connection with (2.3) we see that there is a sequence of unitaries,  $T_n \in \mathcal{L}_B(B \oplus (1 - P_n)B \oplus B, B)$ , such that

$$\lim_{n \to \infty} \|\pi(a)T_n - T_n[\varphi'(a) \oplus \pi_n(a) \oplus \varphi(a)]\| = 0$$

and

$$\pi(a)T_k - T_k[\varphi'(a) \oplus \pi_n(a) \oplus \varphi(a)] \in \mathcal{K}_B(B \oplus (1 - P_k)B \oplus B, B)$$

for all a, k. It follows that

$$\pi(a) - T_n(U_m^* \oplus 1)(\pi(a) \oplus \varphi(a))(U_m \oplus 1)T_n^* \in B$$

for all a, n, m, and that

$$\|\pi(x) - T_n(U_m^* \oplus 1)(\pi(x) \oplus \varphi(x))(U_m \oplus 1)T_n^*\| < \epsilon$$

for all  $x \in F$ , if just *n* and *m* are chosen large enough. Thus we can use  $U = T_n(U_m^* \oplus 1)$  for such n, m.

 $(3) \Rightarrow 4)$  is trivial.

4)  $\Rightarrow$  1) : Let  $\varphi : A \to B$  be a completely positive contraction. Let  $F \subseteq A$  and  $G \subseteq B$  be finite sets and  $\epsilon > 0$ . Since A and B are separable it suffices to find an element  $L \in \mathcal{M}(B)$  such that  $\|\varphi(a) - L^*\pi(a)L\| < \epsilon$ ,  $a \in F$ , and  $\|Lb\| < \epsilon$  for all  $b \in B$ . By Kasparovs Stinespring theorem, Theorem 3 of [K], there is a unital \*-homomorphism  $\chi : A \to \mathcal{M}(B)$  and an element  $W \in \mathcal{M}(B)$  such that  $\varphi(\cdot) = W^*\chi(\cdot)W$ . Let  $S_i, i = 1, 2, 3, \cdots$ , be the sequence of isometries from above and set  $\chi^{\infty}(a) = \sum_{i=1}^{\infty} S_i\chi(a)S_i^*$ . It follows from 4) that there is a sequence  $\{U_n\}$  of unitaries in  $\mathcal{L}_B(B \oplus B, B)$  such that

$$\lim_{n \to \infty} \left\| U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^{\infty}(a) \end{pmatrix} U_n^* - \pi(a) \right\| = 0 , \ a \in A .$$

Define  $T_i: B \to B \oplus B$  by  $T_i b = (0, S_i b)$ . Then

$$\chi(a) = T_i^* \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^{\infty}(a) \end{pmatrix} T_i$$

and

$$\varphi(a) = W^* T_i^* \begin{pmatrix} \pi(a) & 0 \\ 0 & \chi^{\infty}(a) \end{pmatrix} T_i W$$

for all a and i. Choose n so large that

$$\|\begin{pmatrix} \pi(a) & 0\\ 0 & \chi^{\infty}(a) \end{pmatrix} - U_n^* \pi(a) U_n \| < \frac{\epsilon}{1 + \|W\|^2} , \ a \in F .$$

Then

$$\|\varphi(a) - W^*T_i^*U_n^*\pi(a)U_nT_iW\| < \epsilon , \ a \in F$$

for all *i*. Since  $\lim_{i\to\infty} ||T_i^*x|| = 0$  for all  $x \in B \oplus B$ , we can choose *i* so large that  $||W^*T_i^*U_n^*b|| < \epsilon$  for all  $b \in G$ . Set  $L = U_nT_iW$ .

**Definition 2.2.** Let A and B be separable  $C^*$ -algebras with A unital and B stable. A unital \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  which satisfies the four equivalent conditions in Theorem 2.1 is called *unitally absorbing* (for (A, B)).

The following lemma is surely known, but it is so crucial for us here that we include a proof.

**Lemma 2.3.** Let A and B be separable  $C^*$ -algebras. There is then a countable set X of completely positive contractions  $A \to B$  such that for any completely positive contraction  $\mu : A \to B$ , any finite set  $F \subseteq A$  and any  $\epsilon > 0$  there is an element  $l \in X$  such that

$$\|\mu(f) - l(f)\| \le \epsilon , f \in F .$$

Proof. Let  $\{a_1, a_2, a_3, \dots\}$  be a dense sequence in the unit ball of A and set  $F_n = span\{a_1, a_2, \dots, a_n\}$ . Let  $\omega$  be a faithful state of A and let  $(\pi_{\omega}, H_{\omega})$  be the GNS-representation coming from  $\omega$ . We can then consider A as a subspace of  $H_{\omega}$ . The orthogonal projection  $P_n : H_{\omega} \to F_n$  gives us then by restriction a continuous idempotent map  $P_n : A \to F_n$ . Let  $1 < m_1 < m_2 < m_3 < \cdots$  be a sequence of numbers such that  $\|P_n\| \leq m_n$  for all n. We can then define a metric d on the space  $\mathcal{B}(A, B)$  of continuous linear maps  $L : A \to B$  by

$$d(L_1, L_2) = \sum_{i=1}^{\infty} \frac{2^{-i}}{m_i} \|L_1(a_i) - L_2(a_i)\|.$$

Choose a linear basis  $\{x_1, x_2, \dots, x_{n_0}\}$  for  $F_n$ . For each  $n_0$ -tuple  $\underline{b} = (b_1, b_2, \dots, b_{n_0}) \in B^{n_0}$  there is a linear map  $L_{\underline{b}}: F_n \to B$  such that  $L_{\underline{b}}(x_i) = b_i$ ,  $i = 1, 2, \dots, n$ . By using that  $B^{n_0}$  is separable this construction gives us a countable set  $\mathcal{M}$  of linear maps  $F_n \to B$  which is dense in the strong topology of  $\mathcal{B}(F_n, B)$ . Let now  $0 < \epsilon < 1$  and a finite set  $D \subseteq F_n$  be given. Let  $\mu \in \mathcal{B}(F_n, B)$  be a contraction. There is a finite subset G of  $F_n$  such that every  $x \in F_n$  with  $||x|| \leq 1-\epsilon$  is a convex combination of elements from G. Choose  $l \in \mathcal{M}$  such that

$$\|\mu(z) - l(z)\| < \epsilon, \ z \in D \cup G.$$
 (2.7)

Then  $\|\mu(x) - l(x)\| \leq \epsilon$  for all  $x \in F_n$  with  $\|x\| \leq 1 - \epsilon$ , and hence  $\|l\| \leq \frac{1+\epsilon}{1-\epsilon}$ . Let q be a positive rational number in  $]\frac{1-2\epsilon}{1+\epsilon}, \frac{1-\epsilon}{1+\epsilon}[$ . Then  $ql \in \mathbb{Q}_+\mathcal{M}$  is a contraction and we find that

$$\begin{aligned} \|\mu(z) &- ql(z)\| \leq \|\mu(z) - l(z)\| + \|l(z) - ql(z)\| \\ &\leq \epsilon + |1 - q| \|l\| \sup\{\|z\| : z \in D\} \\ &< \frac{2\epsilon + 2\epsilon^2}{1 - \epsilon^2} \sup\{\|z\| : z \in D\} + \epsilon \end{aligned}$$

for all  $z \in D$ . It follows that we can find a countable set  $\mathcal{Y}_n \subseteq \mathbb{Q}_+\mathcal{M}$  of linear contractions which is strongly dense among all contractions in  $\mathcal{B}(F_n, B)$ . Set

$$\mathcal{Y} = \bigcup_{n=1}^{\infty} \{ l \circ P_n : l \in \mathcal{Y}_n \}$$

Let  $\mu : A \to B$  be a linear contraction and let  $\epsilon > 0$ . Choose *n* so large that  $2\sum_{i\geq n+1} 2^{-i} < \frac{\epsilon}{2}$ . From what we have just proved there is an element  $l \in \mathcal{Y}_n$  such that  $\|\mu(a_i) - l(a_i)\| < \frac{\epsilon}{2}$ ,  $i = 1, 2, \cdots, n$ . Then  $l \circ P_n \in \mathcal{Y}$  and

$$d(\mu, l \circ P_n) \leq \sum_{i=1}^{n} \frac{2^{-i}}{m_i} \frac{\epsilon}{2} + \sum_{i \geq n+1} \frac{2^{-i}}{m_i} (1 + ||P_n||)$$
  
$$\leq \frac{\epsilon}{2} + \sum_{i \geq n+1} \frac{2^{-i}}{m_i} (1 + m_i) \leq \epsilon.$$

It follows that  $\mathcal{Y}$  is a countable set in  $\mathcal{B}(A, B)$  with the property that for any linear contraction  $\mu : A \to B$  and any  $\epsilon > 0$  there is an element  $l \in \mathcal{Y}$  such that  $d(\mu, l) < \epsilon$ . For each  $l \in \mathcal{Y}$  choose a completely positive contraction  $l' : A \to B$  such that

 $d(l, l') \le 2 \inf \{ d(l, L) : L \in \mathcal{B}(A, B) \text{ is a completely positive contraction } \}.$ 

Then  $\mathcal{Y}' = \{l' : l \in \mathcal{Y}\}$  is a countable set of completely positive contractions in  $\mathcal{B}(A, B)$  with the property that for any completely positive linear contraction  $\mu : A \to B$  and any  $\epsilon > 0$  there is an element  $l \in \mathcal{Y}'$  such that  $d(\mu, l) < \epsilon$ .

**Theorem 2.4.** Let A and B be separable  $C^*$ -algebras. Assume that B is stable and A unital. Then there exists an unitally absorbing \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  for (A, B).

*Proof.* By Lemma 2.3 there is a dense sequence  $\{s_n\}$  in the set of completely positive contractions from A to B. We may assume that each  $s_n$  is repeated infinitely often in this sequence. By Kasparovs Stinespring Theorem, Theorem 3 of [K], there are elements  $V_n \in \mathcal{M}(B)$  and unital \*-homomorphisms  $\pi_n : A \to \mathcal{M}(B)$  such that

$$s_n(\cdot) = V_n^* \pi_n(\cdot) V_n$$

for all *n*. Note that  $||V_n||^2 = ||V_n^*V_n|| = ||s_n(1)|| \le 1$  for all *n*. Define a unital \*-homomorphism  $\pi_{\infty} : A \to \mathcal{L}_B(l_2(B))$  by

$$\pi_{\infty}(a)(b_1, b_2, b_3, \cdots) = (\pi_1(a)b_1, \pi_2(a)b_2, \pi_3(a)b_3, \cdots) .$$

Define  $L_n \in \mathcal{L}_B(B, l_2(B))$  by

$$L_n b = (0, 0, \cdots, 0, V_n b, 0, 0, \cdots)$$

where the non-trivial entry occurs at the *n*'th coordinate. Since we repeated the  $s_n$ 's infinitely often there is, for each *n*, a sequence  $k_1 < k_2 < k_3 < \cdots$  in  $\mathbb{N}$  such that

$$s_n(a) = L_{k_i}^* \pi_\infty(a) L_{k_i}$$
 (2.8)

for all  $a \in A$ ,  $i \in \mathbb{N}$ , and

$$\lim_{i \to \infty} \|L_{k_i}^* \psi\| = 0 , \qquad \psi \in l_2(B) .$$
(2.9)

By Lemma 1.3.2 of [K-JT] there is an isomorphism  $S : l_2(B) \to B$  of Hilbert *B*modules. Set  $T_n = SL_n \in \mathcal{M}(B)$  and  $\pi(\cdot) = S\pi_{\infty}(\cdot)S^*$ . We assert that  $\pi$  satisfies condition 1) of Theorem 2.1, and to prove it we let  $\varphi : A \to B$  be a completely positive contraction. In order to construct a sequence  $\{W_n\}$  in  $\mathcal{M}(B)$  such that 1a) and 1b) of Theorem 2.1 hold it suffices, because A and B are separable, to pick  $\epsilon > 0$  and finite subsets  $F_1 \subseteq A$  and  $F_2 \subseteq B$  and find an element  $W \in \mathcal{M}(B)$  such that  $\|\varphi(a) - W^*\pi(a)W\| < \epsilon$ ,  $a \in F_1$ , and  $\|W^*b\| < \epsilon$ ,  $b \in F_2$ . Choose first an  $n \in \mathbb{N}$  such that  $\|\varphi(a) - s_n(a)\| < \epsilon$ ,  $a \in F_1$ . If we then choose  $k_1 < k_2 < k_3 < \cdots$ such that (2.8) and (2.9) hold we have that  $T_{k_i}^*\pi(a)T_{k_i} = s_n(a)$  for all  $a \in F_1$  and  $\|T_{k_i}^*b\| < \epsilon$  for all  $b \in F_2$ , provided only that i is large enough. We can then set  $W = T_{k_i}$  for such an i.

We now turn to the case of a not neccesarily unital  $C^*$ -algebra A and the general notion of absorbing \*-homomorphisms. Given a  $C^*$ -algebra A we denote in the following by  $A^+$  the  $C^*$ -algebra obtained by adding a unit to A. Let B be another  $C^*$ -algebra. Any linear completely positive contraction  $\varphi : A \to \mathcal{M}(B)$  admits a unique linear extension  $\varphi^+ : A^+ \to \mathcal{M}(B)$  such that  $\varphi^+(1) = 1$ .  $\varphi^+$  is automatically a completely positive contraction, cf. e.g. Lemma 3.2.8 of [K-JT], and is automatically a \*-homomorphism when  $\varphi$  is. The following theorem is therefore an immediate consequence of Theorem 2.1.

**Theorem 2.5.** Let A and B be separable  $C^*$ -algebras with B stable. Let  $\pi : A \to \mathcal{M}(B)$  be a \*-homomorphism. Then the following conditions are equivalent :

- 1)  $\pi^+: A^+ \to \mathcal{M}(B)$  is unitally absorbing for  $(A^+, B)$ .
- 2) For any completely positive contraction φ : A → M(B) there is a sequence {V<sub>n</sub>} of isometries in M(B) such that
  2a) V<sub>n</sub><sup>\*</sup>π(a)V<sub>n</sub> φ(a) ∈ B , n ∈ N , a ∈ A ,
  2b) lim<sub>n→∞</sub> ||V<sub>n</sub><sup>\*</sup>π(a)V<sub>n</sub> φ(a)|| = 0 , a ∈ A.
- 3) For any \*-homomorphism  $\varphi : A \to \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that 3a)  $U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a) \in B , n \in \mathbb{N} , a \in A,$ 3b)  $\lim_{n \to \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0 , a \in A.$
- 4) For any \*-homomorphism  $\varphi : A \to \mathcal{M}(B)$  there is a sequence  $\{U_n\}$  of unitaries  $U_n \in \mathcal{L}_B(B \oplus B, B)$  such that

$$\lim_{n \to \infty} \|U_n \begin{pmatrix} \pi(a) & 0 \\ 0 & \varphi(a) \end{pmatrix} U_n^* - \pi(a)\| = 0 , \ a \in A .$$

**Definition 2.6.** Let A and B be separable  $C^*$ -algebras with B stable. A \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  is *absorbing* (for (A, B)) when it satisfies the four equivalent conditions of Theorem 2.5.

**Theorem 2.7.** Let A and B be separable  $C^*$ -algebras with B stable. There exists an absorbing \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  for (A, B).

*Proof.* Combine Theorem 2.5 and Theorem 2.4.

An absorbing \*-homomorphism is clearly unique in the following sense : Given two absorbing \*-homomorphisms  $\pi_1, \pi_2 : A \to \mathcal{M}(B)$  there is a sequence  $\{U_n\} \subseteq \mathcal{M}(B)$ of unitaries such that

$$U_n\pi_1(a)U_n^* - \pi_2(a) \in B , \ a \in A, \ n \in \mathbb{N},$$

and

$$\lim_{n \to \infty} U_n \pi_1(a) U_n^* - \pi_2(a) = 0 , \ a \in A .$$

#### 3. DUALITY IN KK-THEORY

Throughout this section A and B will be separable  $C^*$ -algebras and B will be stable. A \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  is of infinite multiplicity when  $\pi$  is unitarily equivalent to  $\pi^{\infty}$ , where  $\pi^{\infty} : A \to \mathcal{M}(B)$  is the \*-homomorphism given by

$$\pi^{\infty}(a) = \sum_{i=1}^{\infty} S_i \pi(a) S_i^* ,$$

for some sequence  $S_i$ ,  $i \in \mathbb{N}$ , of isometries in  $\mathcal{M}(B)$  such that  $S_i^* S_j = 0$ ,  $i \neq j$ , and  $\sum_{i=1}^{\infty} S_i S_i^* = 1$  in the strict topology.

**Lemma 3.1.** Let  $\pi : A \to \mathcal{M}(B)$  be a \*-homomorphism of infinite multiplicity and set

$$E = \{ m \in \mathcal{M}(B) : m\pi(a) = \pi(a)m \; \forall a \in A \} .$$

Then  $K_*(E) = \{0\}.$ 

*Proof.* Since  $\pi$  has infinite multiplicity,

$$E \simeq \{m \in \mathcal{L}_B(l_2(B)) : m\mu(a) = \mu(a)m \ \forall a \in A\}$$

where  $\mu: A \to \mathcal{L}_B(l_2(B))$  is given by

$$\mu(a)(b_1, b_2, b_3, \cdots) = (\pi(a)b_1, \pi(a)b_2, \pi(a)b_3, \cdots) .$$

The usual proof that  $K_*(\mathcal{L}_B(l_2(B))) = 0$  works to show that  $K_*(E) = 0$ , cf. e.g. Proposition 12.2.1 of [B1].

Given an absorbing \*-homomorphism  $\pi : A \to \mathcal{M}(B)$  we set

$$C_{\pi} = \{ x \in \mathcal{M}(B) : x\pi(a) - \pi(a)x \in B , a \in A \}$$

and

$$A_{\pi} = \{ x \in \mathcal{M}(B) : x\pi(A) \subseteq B \}$$

Then  $A_{\pi}$  is a closed two sided ideal in  $C_{\pi}$  and we set

 $D_{\pi} = C_{\pi}/A_{\pi}$ .

The quotient map  $C_{\pi} \to D_{\pi}$  will be denoted by q. If  $\tau : A \to \mathcal{M}(B)$  is another absorbing \*-homomorphism there is a unitary  $w \in \mathcal{M}(B)$  such that  $\operatorname{Ad} w \circ \pi(a) - \tau(a) \in B$  for all  $a \in A$  and then  $x \mapsto wxw^*$  defines a \*-isomorphism of  $C_{\pi}$  onto  $C_{\tau}$ which takes  $A_{\pi}$  onto  $A_{\tau}$ . In particular,  $D_{\pi} \simeq D_{\tau}$ .

Let u be a unitary in  $M_n(D_\pi)$ . Choose  $v \in M_n(C_\pi)$  such that

$$\operatorname{id}_{M_n}\otimes q(v) = u$$
.

Define  $\pi^n : A \to \mathcal{L}_B(B^n)$  by

$$\pi^{n}(a)(b_{1}, b_{2}, \cdots, b_{n}) = (\pi(a)b_{1}, \pi(a)b_{2}, \cdots, \pi(a)b_{n}) .$$

Let  $B^n \oplus B^n$  be graded by  $(x, y) \mapsto (x, -y)$ . Then

$$(B^n \oplus B^n$$
 ,  $\begin{pmatrix} \pi^n & \\ & \pi^n \end{pmatrix}$  ,  $\begin{pmatrix} & v \\ & v^* \end{pmatrix}$ 

is a Kasparov A-B-module. We leave the reader to check that the class of this module in KK(A, B) only depends on the class of u in  $K_1(D_{\pi})$ , and that the construction gives rise to a group homomorphism  $\Theta: K_1(D_{\pi}) \to KK(A, B)$ .

**Theorem 3.2.** Assume that  $\pi : A \to \mathcal{M}(B)$  is an absorbing \*-homomorphism. Then  $\Theta : K_1(D_{\pi}) \to KK(A, B)$  is an isomorphism.

*Proof.* When  $\tau$  is another absorbing \*-homomorphism there is a commuting diagram



where  $K_1(D_{\pi}) \to K_1(D_{\tau})$  is induced by the isomorphism  $D_{\pi} \to D_{\tau}$  described above, and  $K_1(D_{\tau}) \to KK(A, B)$  is the map obtained by using  $\tau$  instead of  $\pi$  in the definition of  $\Theta$ . Indeed if one considers a specific unitary in  $M_n(D_{\pi})$ , the Kasparov A - B-module which results by going down and up in the diagram differs from the one which arises by going across by an isomorphism and a compact perturbation. Thus if we prove that  $\Theta: K_1(A_{\pi}) \to KK(A, B)$  is an isomorphism for one absorbing \*-homomorphism  $\pi$  it will follow that it is an isomorphism for any other. Hence by working with  $\pi^{\infty}$  instead of  $\pi$  we may assume that  $\pi$  is of infinite multiplicity.

 $\Theta$  is injective : Let  $u \in M_n(D_\pi)$  be a unitary and choose  $v \in M_n(C_\pi)$  such that  $\mathrm{id}_{M_n} \otimes q(v) = u$ . Assume that

$$[B^n \oplus B^n \ , \ ( \ {}^{\pi^n} \ {}_{\pi^n} \ ) \ , \ ( \ {}_{v^*} \ {}^v)] = 0$$

in KK(A, B). This means that there are degenerate Kasparov A - B-modules  $\mathcal{D}_1$ and  $\mathcal{D}_2$  such that

$$(B^n\oplus B^n\ ,\ ({}^{\pi^n}{}_{\pi^n})\ ,\ ({}_{v^*}{}^v))\ \oplus\ \mathcal{D}_1$$

is operator homotopic to

$$(B^n \oplus B^n \ , \ ( \ {}^{\pi^n} \ {}_{\pi^n} \ ) \ , \ ( \ {}_1^{-1} \ )) \ \oplus \ \mathcal{D}_2 \ .$$

Since  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are degenerate we can define a new degenerate Kasparov A - B-module  $\mathcal{D}$  by

$$\mathcal{D} \;=\; \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \mathcal{D}_1 \oplus \mathcal{D}_2 \oplus \cdots \cdots$$

Then  $\mathcal{D}_1 \oplus \mathcal{D}$  and  $\mathcal{D}_2 \oplus \mathcal{D}$  are both isomorphic to  $\mathcal{D}$  and hence

$$(B^n\oplus B^n\ ,\ (\begin{smallmatrix} \pi^n& & \ \pi^n \end{smallmatrix})\ ,\ (\begin{smallmatrix} v^*& v \end{smallmatrix}))\ \oplus\ {\cal D}$$

is operator homotopic to

$$(B^n\oplus B^n\ ,\ ({}^{\pi^n}{}_{\pi^n})\ ,\ ({}^{-1}{}_1))\ \oplus\ \mathcal{D}$$

By combining Kasparovs stabilization theorem, Theorem 2.12 of [K-JT], with Lemma 1.3.2 of [K-JT] we may assume that

$$\mathcal{D} = (B \oplus B, \left(\begin{smallmatrix} \lambda_+ \\ \lambda_- \end{smallmatrix}\right), \left(\begin{smallmatrix} b & a \end{smallmatrix}\right)),$$

where  $B \oplus B$  is graded by  $(x, y) \mapsto (x, -y)$ ,  $\lambda_{\pm} : A \to \mathcal{M}(B)$  are \*-homomorphisms and  $a, b \in \mathcal{M}(B)$ . By performing the same alterations to  $\mathcal{D}$  as was performed to  $\mathcal{E}$ on page 125-126 of [K-JT] we may assume that a = w and  $b = w^*$  for some unitary  $w \in \mathcal{M}(B)$ . Finally, by applying the unitary of the Hilbert B-module  $B \oplus B$  given by  $(x, y) \mapsto (x, wy)$ , we see that we can assume that w = 1. So all in all we have that

$$(B^{n} \oplus B^{n} , \left( \begin{smallmatrix} \pi^{n} \\ \pi^{n} \end{smallmatrix} \right) , \left( \begin{smallmatrix} \nu^{*} \\ \nu^{*} \end{smallmatrix} \right)) \oplus (B \oplus B , \left( \begin{smallmatrix} \lambda_{+} \\ \lambda_{-} \end{smallmatrix} \right) , \left( \begin{smallmatrix} 1 \\ 1 \end{smallmatrix} \right))$$

is operator homotopic to

$$(B^n \oplus B^n, \left(\begin{smallmatrix} \pi^n & \\ & \pi^n \end{smallmatrix}\right), \left(\begin{smallmatrix} 1 & 1 \\ & 1 \end{smallmatrix}\right)) \oplus (B \oplus B, \left(\begin{smallmatrix} \lambda_+ & \\ & \lambda_- \end{smallmatrix}\right), \left(\begin{smallmatrix} 1 & 1 \\ & 1 \end{smallmatrix}\right))$$

Note that  $\lambda_+ = \lambda_-$  since  $(B \oplus B, (\lambda_+ \lambda_-), (\lambda_- \lambda_-))$  is degenerate. Finally, by adding on an infinite number of copies of

$$(B \oplus B, \left(\begin{smallmatrix}\lambda_+\\\lambda_-\end{smallmatrix}\right), \left(\begin{smallmatrix}1\\1\end{smallmatrix}\right))$$

we find that there is a \*-homomorphism of infinite multiplicity  $\lambda : A \to \mathcal{M}(B)$  such that

$$(B^n \oplus B^n \ , \ (\begin{smallmatrix} \pi^n \\ & \pi^n \end{smallmatrix}) \ , \ (\begin{smallmatrix} v^* \\ v^* \end{smallmatrix})) \ \oplus \ (B \oplus B \ , \ (\begin{smallmatrix} \lambda \\ & \lambda \end{smallmatrix}) \ , \ (\begin{smallmatrix} 1 \\ & 1 \end{smallmatrix}))$$

is operator homotopic to

$$(B^n \oplus B^n \ , \ ( \ {}^{\pi^n}_{\pi^n} \ ) \ , \ ( \ {}_1^{-1} \ )) \ \oplus \ (B \oplus B \ , \ ( \ {}^{\lambda}_{\lambda} \ ) \ , \ ( \ {}_1^{-1} \ )) \ .$$

Furthermore, by adding on

$$(B \oplus B \ , \ \left(\begin{smallmatrix} \pi & \\ & \pi \end{smallmatrix}\right) \ , \ \left(\begin{smallmatrix} -1 \\ 1 \end{smallmatrix}\right))$$

we may assume that there is a unitary  $w \in \mathcal{M}(B)$  such that

$$w\lambda(a)w^* - \pi(a) \in B \quad , \quad a \in A \quad . \tag{3.2}$$

The operator homotopy consists of an isomorphism of Kasparov A - B modules and a norm-continuous path of operators. The isomorphism gives us a unitary  $S \in M_{n+1}(\mathcal{M}(B))$  such that

$$S\begin{pmatrix} \pi^{n}(a) \\ \lambda(a) \end{pmatrix} = \begin{pmatrix} \pi^{n}(a) \\ \lambda(a) \end{pmatrix} S$$

for all  $a \in A$ , and in addition we have a norm-continuous path  $F_t$ ,  $t \in [0,1]$ , in  $M_{n+1}(\mathcal{M}(B))$  such that  $F_0 = S$ ,  $F_1 = \begin{pmatrix} v \\ 1 \end{pmatrix}$ ,

$$(F_t F_t^* - 1_{n+1}) \begin{pmatrix} \pi^{n}(a) \\ \lambda(a) \end{pmatrix} \in M_{n+1}(B),$$
  

$$(F_t^* F_t - 1_{n+1}) \begin{pmatrix} \pi^{n}(a) \\ \lambda(a) \end{pmatrix} \in M_{n+1}(B),$$

and

$$F_t \begin{pmatrix} \pi^n(a) \\ \lambda(a) \end{pmatrix} - \begin{pmatrix} \pi^n(a) \\ \lambda(a) \end{pmatrix} F_t \in M_{n+1}(B)$$

for all t and a. Here and in the following we let  $1_k$  denote the unit of  $M_k(\mathcal{M}(B))$ . Note that  $\nu = (\pi^n_{\lambda})$  is of infinity multiplicity, as a \*-homomorphism  $A \to \mathcal{M}(M_{n+1}(B))$ , since  $\pi$  and  $\lambda$  both are of infinite multiplicity. By Lemma 3.1 we can therefore find an  $m \in \mathbb{N}$  and a norm-continuous path of unitaries in

$$\{x \in M_{m(n+1)}(\mathcal{M}(B)) : x\nu^m(a) = \nu^m(a)x, a \in A \}$$

connecting  $\binom{s}{1_{(m-1)(n+1)}}$  to  $1_{m(n+1)}$ . In combination with F this gives us a normcontinuous path  $H_t$ ,  $t \in [0,1]$ , in  $M_{m(n+1)}(\mathcal{M}(B))$  such that  $H_0 = 1_{m(n+1)}$ ,  $H_1 = \binom{v}{1_{m(n+1)-n}}$ ,

$$(H_t H_t^* - 1_{m(n+1)})\nu^m(a) \in M_{m(n+1)}(B), (H_t^* H_t - 1_{m(n+1)})\nu^m(a) \in M_{m(n+1)}(B),$$

and

$$H_t \nu^m(a) - \nu^m(a) H_t \in M_{m(n+1)}(B)$$

for all t and a. Set

$$W = \operatorname{diag}(\underbrace{1_n, w, 1_n, w, \cdots, 1_n, w}_{m \text{ times}}) \in M_{m(n+1)}(\mathcal{M}(B))$$

and

$$G_t = WH_tW^*$$

Then  $G_t$  is a norm-continuous path in  $M_{m(n+1)}(\mathcal{M}(B))$  such that  $G_0 = \mathbb{1}_{m(n+1)}, G_1 = \begin{pmatrix} v & \mathbf{1}_{m(n+1)-n} \end{pmatrix}$ ,

$$(G_t G_t^* - 1_{m(n+1)}) \pi^{m(n+1)}(a) \in M_{m(n+1)}(B), (G_t^* G_t - 1_{m(n+1)}) \pi^{m(n+1)}(a) \in M_{m(n+1)}(B),$$

and

$$G_t \pi^{m(n+1)}(a) - \pi^{m(n+1)}(a) G_t \in M_{m(n+1)}(B)$$

for all t and a. Thus  $(\operatorname{id}_{M_m(n+1)} \otimes q)(G_t)$  is a path of unitaries in  $M_{m(n+1)}(D_{\pi})$  connecting  $\binom{u}{1_{m(n+1)-n}}$  to  $1_{m(n+1)}$ .

 $\Theta$  is surjective : Let  $(E, \psi, F)$  be a Kasparov A - B-module. The constructions on pages 125-126 of [K-JT] show that  $[E, \psi, F] \in KK(A, B)$  is also represented by a Kasparov A - B-module of the form

$$(B \oplus B \ , \ ( \stackrel{\varphi_+}{}_{\varphi_-} ) \ , \ ( \stackrel{v^*}{}_{v^*} ))$$

for some \*-homomorphisms  $\varphi_{\pm} : A \to \mathcal{M}(B)$  and some unitary  $v \in \mathcal{M}(B)$ . By adding on

$$(B \oplus B \ , \ \left( \begin{smallmatrix} \pi & \\ \pi \end{smallmatrix} \right) \ , \ \left( \begin{smallmatrix} \pi & \\ 1 \end{smallmatrix} \right))$$

and using that  $\pi$  is absorbing we may assume that there are unitaries  $u_{\pm} \in \mathcal{M}(B)$ such that

$$u_{\pm}\varphi_{\pm}(a)u_{\pm}^{*} - \pi(a) \in B$$

for all  $a \in A$ . Then

$$(B \oplus B , (\overset{\varphi_+}{}_{\varphi_-}) , (\overset{v}{}_{v^*}{}^v))$$

is isomorphic to

$$(B \oplus B, \left( \overset{\operatorname{Ad} u_{+} \circ \varphi_{+}}{\operatorname{Ad} u_{-} \circ \varphi_{-}} \right), \left( \overset{u_{+} v u_{+}^{*}}{\operatorname{Ad} u_{+} \circ \varphi_{-}} \right))$$

which in turn is a compact perturbation of

$$(B \oplus B \ , \ \left(\begin{smallmatrix} \pi \\ \pi \end{smallmatrix}\right) \ , \ \left(\begin{smallmatrix} u_+ v u_-^* \\ u_- v^* u_+^* \end{smallmatrix}\right)) \ .$$

Then  $u_+vu_-^*$  is a unitary  $C_{\pi}$  such that  $\Theta([q(u_+vu_-^*)]) = [E, \psi, F]$  in KK(A, B).  $\Box$ 

Of course there is also an isomorphism

$$K_0(D_\pi) \simeq \operatorname{Ext}^{-1}(A, B)$$

which can be proved in basically the same way.

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